

JUDGE RICARDO S. MARTINEZ

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF WASHINGTON

UNITED STATES OF AMERICA,)	NO. CR12-133 RSM
)	
Plaintiff,)	
)	
v.)	DEFENSE EXHIBIT LIST
)	
MARK F. SPANGLER,)	
)	
Defendant.)	
)	

Defendant, Mark F. Spangler, through his defense counsel, Assistant Federal Public Defender John Carpenter, hereby submits the attached Exhibit List for the Court's consideration at the trial in this matter.

Exhibit No.	Exhibit Subpart	Description
A001		SG Growth+ PPM
A002		SG Growth+ Operating Agreement
A003		SG Growth+ Signature (pgs 34-53)
A004		Equity PPM
A005		Equity Operating Agreement
A006		Distribution - Rounded, Spangler Group dated 7/13/2012
	.01	Tab - Income
	.02	Tab - Growth
	.03	Tab - SV9
	.04	Tab - SV11

DEFENSE EXHIBIT LIST-- 1
(Mark Spangler; CR12-133 RSM)

FEDERAL PUBLIC DEFENDER
1331 Broadway, Suite 400
Tacoma, WA 98402
(253) 593-6710

1		.05	Tab - TeraHop
2		.06	Tab - Summary Capital
3		.07	Tab - Summary Cash
4		.08	Tab - All In
5	A007		Reserved
6	A008		Reserved
7	A009		Reserved
8	A010		SV7/SV11 Combined PPM 2009
9	A011		Reserved
10	A012		Reserved
11	A013		Reserved
12	A014		Reserved
13	A015		Reserved
14	A016		IP Executive Summary
15		.01	Patent 6745027
16		.02	Patent 7209771
17		.03	Patent 6934540
18		.04	Patent 7133704
19		.05	Patent 7155264
20		.06	Patent 7209468
21		.07	Patent 7200132
22		.08	Patent 7522568
23		.09	Patent 7221668
24		.10	Patent 7430437
25		.11	Patent 7438334
26		.12	Patent 7391321
		.13	Patent 7394361
		.14	Patent 7526381
		.15	Patent 7542849
		.16	Patent 7650135
		.17	Patent 7529547
		.18	Patent 7563991
		.19	Patent 7574300
		.20	Patent 7583769
		.21	Patent 7574168
		.22	Patent 7783246
		.23	Patent 7539520
		.24	Patent 7554442
		.25	Patent 8144671

1	.26	Patent 7940716
2	.27	Patent 7828342
3	.28	Patent 7830273
4	.29	Patent 7705747
5	.30	Patent 7742772
6	.31	Patent 7742773
7	.32	Patent 7733818
8	.33	Patent 7907941
9	.34	Patent 7378957
10	.35	Patent 7378958
11	.36	Patent 7378959
12	.37	Patent 8068807
13	.38	Patent 8238826
14	.39	Patent 7538656
15	.40	Patent 7538657
16	.41	Patent 7538658
17	.42	Patent 7535339
18	.43	Patent 8331862
19	.44	Patent 8284741
20	.45	Patent 7940717
21	.46	Patent 7940736
22	.47	Patent 8111651
23	.48	Patent 7904071
24	.49	Patent 7830850
25	.50	Patent 7733944
26	.51	Patent 7822551
	.52	Patent 7828343
	.53	Patent 7883126
	.54	Patent 7900980
	.55	Patent 7938459
	.56	Patent 7828344
	.57	Patent 7883127
	.58	Patent 7828345
	.59	Patent 7828346
	.60	Patent 7883128
	.61	Patent 8050625
	.62	Patent 8095070
	.63	Patent 8315563
	.64	Patent 8204439

DEFENSE EXHIBIT LIST-- 3
(Mark Spangler; CR12-133 RSM)

FEDERAL PUBLIC DEFENDER
1331 Broadway, Suite 400
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(253) 593-6710

1	.65	Patent 8223680
	.66	Patent 7742744
2	.67	Patent 7746838
	.68	Patent 7940719
3	.69	Patent 7830852
	.70	Patent 8326226
4	.71	Patent 8301082
	.72	Patent 7941095
5	.73	Patent 8207848
	.74	Patent 8279067
6	.75	Patent 8284045
	.76	Patent 8218514
7	.77	Patent 7742745
	.78	Patent 8050668
8	.79	Patent 8045929
	.80	Patent 7847676
9	.81	Patent 8280345
	.82	Patent 8315237
10	.83	Patent 8462662
	.84	Patent 8275404
11	.85	Patent 8391435
	.86	Patent 8300551
12	.87	Patent 8315565
	.88	Patent 8078139
13	.89	Patent 8433309
	.90	Patent 7912644
14	.91	Reserved
	.92	Lot #1 – 34 issued (applications)
15	.93	Lot #2 – 21 issued (6 applications)
	.94	Lot #3 – 6 issued (0 pending)
16	.95	Lot #4 – 6 issued (28 pending)
	.96	Reserved
17	.97	Reserved
	.98	Reserved
18	.99	Reserved
	A017	Investor Update dated 3/18/2008
19	A018	Org. Chart and Headcount dated 10/6/2008
	A019	Reserved
20	A020	Reserved

1	A021	Reserved
	A022	Reserved
2	A023	Reserved
3	A024	Equity PPM 1998 Signature Pages
	A025	Equity PPM Redline Nov. 2007
4	A026	SG Growth+ Redline May 08 includes Op. Agreement (pg. 8)
5	A027	1998 Equity/Cairncross Engagement Letter
6	A028	1998 Emails between Carleton & Stokke regarding final draft of Equity PPM
7	A029	TeraHop Website – Homepage
	A030	TeraHop Website – Our People – Mark Spangler, Chairman
8	A031	Reserved
9		.01 CBN_WUT_mobile_trackMonitor
		.02 Fuel System Overview 2n
10		.03 IMS Overview Brochure
		.04 Product-GR1100A-1a
11		.05 Product-PDC300A-IMS-6a
12		.06 Product-PDC301A-3a
		.07 Product PDC400A-5n
13		.08 RFID Comparisons THN AN-001_JED
14		.09 RFID Integration THN AN-002_JED
15		.10 TeraHop Case Study-KLB Construction-8
		.11 TeraHop Brochure
16		.12 TeraHop_CAMS
17		.13 TeraHop_Intermodal
		.14 TeraHop_YM
18	A032	Reserved
19	A033	Reserved
	A034	Tamarac Website – Homepage
20	A035	Tamarac Website – Exec. Bios – Mark Spangler, Chairman
21	A036	Reserved
		.01 Tamarac November 2009 PPM Mailing List
22		.02 Tamarac November 2009 PPM Mailing List - Redacted
23		.03 Tamarac Private Placement Memorandum, Series C Preferred Stock & Debt Offering dated October, 2009
24		.04 Recapitalization of Tamarac
25		.05 Valuation by Tillman - 03-07-2011
	A037	Reserved
26		.01 Question K - Energy Investors

1		.02	Energy Investors Balance Sheet
		.03	SG Growth+ Balance Sheet
2		.04	SG Income+ Balance Sheet
3		.05	Spangler Ventures Eleven Balance Sheet
		.06	Spangler Ventures Balance Sheet
4		.07	Spangler Ventures Six Balance Sheet
5		.08	Spangler Ventures Seven Balance Sheet
		.09	Spangler Ventures Nine Balance Sheet
6	A038		Reserved
7	A039		The Spangler Group's 2010 Form ADV Part II
	A040		Private Placement Memos
8	A041		Operating Agreements
9		.01	Redline - April 2008
	A042		Capitalization Tables
10	A043		Wire Transfers, Bank Stmts.
11	A044		Reserved
	A045		Reserved
12	A046		Reserved
13		.01	Income+ PPM 1998
		.02	Income+ Operating Agreement
14	A047		Reserved
15		.01	Income+ Operating Agreement 2008
		.02	Op Ag + Signatures
16	A048		Reserved
17		.01	PPM dated May 2008
		.02	CPPM dated June 19, 2008
18	A049		Capitalization Tables
19	A050		Wire Transfers, Bank Stmts.
20	A051		Reserved
21	A052		Letter to Investors - Updating Original Documents dated May 21, 2008
22	A053		Private Placement Memos
23	A054		Spangler Ventures Partners, Limited Partnership Confidential Private Placement Memorandum dated 2/20/2001
	A055		Operating Agreements
24		.01	Subscription Agreement 2001
25	A056		SV LLC Operating Agreement dated 6/1/2000
	A057		Bill Carleton's Withdrawal Letter from SV LLC dated 3/27/2011
26	A058		Washington Secretary of State Registration Info

1	A059		Private Placement Memos
2		.01	PPM dated May 10, 2006
3	A060		Reserved
4		.01	SV7 Confidential Info. Stmt. January 2002 including SeekerNet Business Plan and all attachments
5		.02	SV7 Confidential Info. Stmt. January 2002
6		.03	SeekerNet Business Plan
7		.04	SeekerNet Business Plan - May 2002
8	A061		Operating Agreements
9		.01	Op Ag Dated July 5, 2000
10	A062		Capitalization Tables
11	A063		Wire Transfers, Bank Stmts.
12	A064		Reserved
13	A065		SV9 Confidential Information Statement dated 1/27/2006
14	A066		Reserved
15		.01	Mailing list for SV9/Tamarac
16		.02	Mailing list for SV9/Tamarac CD-ROM dated 1/26/2006
17	A067		Signature page for Tamarac Series A Capital Contribution, e.g.
18	A068		Reserved
19	A069		Reserved
20	A070		Private Placement Memos
21	A071		Operating Agreements
22	A072		SV 11 Confidential Info Statement dated 6/5/2003
23	A073		SV11 Master - Comerica Summary of Transactions Acct. 189201 5304 up to 10/22/2008
24	A074		SV11 CPPM dated 7/6/2009
25	A075		Reserved
26	A076		Operating Agreements
	A077		Capitalization Tables
	A078		Wire Transfers, Bank Stmts.
	A079		Reserved
		.01	Letter to Investors - Updating Original Documents dated May 21, 2008
		.02	Growth+ CPPM - 5/__/2008
	A080		Reserved
		.01	2000 Annual Newsletter
		.02	August 2000 Newsletter
		.03	2000 Annual Member Newsletter
	A081		Reserved

	.01	2001 Annual Member Newsletter
	.02	Annual Newsletter Cover Email
	.03	2001 Annual Friends Newsletter
A082		Reserved
	.01	2002 Annual Member Newsletter
	.02	Annual Newsletter Cover Email
	.03	2002 Annual Friends Newsletter
A083		Reserved
	.01	2003 Annual Newsletter
	.02	Annual Newsletter Cover Email
A084		PowerPoint Presentation Template - January 2011
A085		PowerPoint Presentation Template undated (but used between 2006 and mid-2008)
A086		Reserved
A087		Reserved
A088		Reserved
A089		Reserved
A090		Reserved
	.01	Municipal Bond CPPM dated 8/17/1998
	.02	Municipal Bond CPPM dated 8/17/1998 Grand Jury Version
	.03	Liquidation of Municipal Bond Fund to Other SFG Funds [Diagram]
	.04	Email to Johnsons dated 3/7/2006 - Subject: Spangler Group: Sale of Municipal Bond [re: closing fund]
A091		Reserved
	.01	Large Cap Equity CPPM dated 2/15/1999
	.02	Letter to Douthits dated 8/31/2000 - Subject: Investment Recommendation [to close Large Cap fund]
	.03	Liquidation of Large Cap to Fund Long/Short
A092		Reserved
A093		Reserved
A094		Reserved
A095		Reserved
	.01	Southeastern Asset Management Statement of Management Fees dated 3/31/2008
	.02	Southeastern Asset Management Annual Update to Form ADV, Part II dated 4/2/2008
	.03	Southeastern Asset Management 2002 Third Quarter Report dated 10/5/2002

	.04	Southeastern Asset Management 2007 Fourth Quarter Review dated 1/11/2008
	.10	New York Times article dated 4/8/2009, "Big Bondholder in G.M. Sells Most of Its Assets in Company"
A096		Reserved
A097		Reserved
A098		Reserved
A099		Dow Jones Industrial Average Index (2000-Present Daily)
A100		Reserved
A101		Reserved
	.01	IRS Memorandum of Interview dated 7/13/2012
	.02	IRS agent notes
	.03	Memorandum of Activity Richard Boyd email to Benjamin George, Subject: newish stuff dated 7/27/2012
	.04	The Handbasket Issue 1 - 07/22/2011
	.05	The Handbasket Issue 2 - 07/23/2011
	.06	The Handbasket Issue 3 - 07/25/2011
	.07	The Handbasket Issue 4 - 07/27/2011
	.08	The Handbasket Issue 5 - 07/31/2011
	.09	The Handbasket Issue 6 - 08/02/2011
	.10	The Handbasket Issue 7 - 08/08/2011
	.11	The Handbasket Issue 8 - 08/14/2011
	.12	The Handbasket Issue 9 - 08/28/2011
	.13	The Handbasket Issue 10 - 09/11/2011
	.14	The Handbasket Issue 11 - 10/02/2011
	.15	The Handbasket Issue 12 - 11/03/2011
	.16	IRS Memorandum of Activity
	.17	Reserved
A102		Reserved
	.01	FBI 302 dated 03/08/2013
A103		Reserved
	.01	IRS Memorandum of Interview dated 10/20/2011
	.02	IRS Memorandum of Interview dated 1/9/2012
	.03	Memorandum of Interview undated
	.04	Memorandum of Interview 1/9/2012
	.05	Handwritten Notes 1-9-12
	.06	PowerPoint Presentation - 11/18/2003
	.07	PowerPoint Presentation - 12/13/2005

	.08	Email from Don Gracia, Subject: Spangler Group Confirmation Request dated 1/27/2011 (re: surprise audit)
	.09	FBI Memo of Interview dated 10-03-13
A104	.00	Reserved
	.01	IRS Memorandum of Interview dated 1/27/2012
	.02	Memorandum of Interview notes dated 1/27/2012
	.03	PowerPoint Presentation dated 11/17/2003
	.04	PowerPoint Presentation dated 11/7/2005
	.05	PowerPoint Presentation dated 1/30/2008
	.06	PowerPoint Presentation dated 3/4/2009
	.07	PowerPoint Presentation dated 4/4/2011
A105		Reserved
	.01	Memorandum of Interview dated 10/19/2011
	.02	PowerPoint Presentation dated 10/31/2005
	.03	PowerPoint Presentation dated 1/19/2004
	.04	Email from Don Gracia, Subject: Spangler Group Confirmation Request dated 1/27/2011 (re: surprise audit)
A106		Reserved
	.01	FBI Memorandum of Interview dated 8/8/2011
	.02	Transcript of Telephonic Interview dated 12/14/2011
	.03	Handwritten Notes 12-11
	.04	Handwritten Notes 12-11
	.05	Email from Don Gracia to gonzalezsalvador@cox.net dated 1/27/2011 Subject: Spangler Group Confirmation Request Attachment: Luque-Moore Rev Trust [Gracia surprise audit email.]
	.06	Letter from The Spangler Group to Gonzalez/Moore dated 1/24/2011 Re: SG Growth+ regarding Cox & Gracia audit, with balance enclosure
	.07	PowerPoint Presentation dated June 2, 2007
	.08	PowerPoint Presentation dated March 4, 2009
	.09	PowerPoint Presentation date January 2011
	.10	Email from Salvador Gonzalez to Mr. Spangler Subject: Exposure to the Public Equity and Debt Markets -- further thoughts, dated 9/16/2008.
	.11	Email from Salvador Gonzalez to Mr. Spangler, Subject: FW: Safety of investments, dated 10/1/2008
	.12	Email from Salvador Gonzalez to Mr. Spangler Subject: Year end plan, dated 11/5/2008

1		.13	Email from Salvador Gonzalez to Luann Renfrow Subject: Re: Meeting on Thursday, May 6 at Noon dated 4/30/2004
2		.14	Email from Luann Renfrow to Salvador and Carol Anne, Subject: Welcome to The Spangler Group, dated 9/10/2004
3		.15	email Interview Summary 10-07-13
4		.16	Power Point Presentation February 2006
5	A107		Reserved
6		.01	FBI Memorandum of Interview dated 10/19/11
7		.02	Interview Notes dated 10/19/11
8		.03	Interview Notes dated 10/19/11
9		.04	IRS Memorandum of Interview dated 09/24/13
10		.05	Notes
11	A108		Reserved
12		.01	FBI Memorandum of Interview dated 4-26-12
13		.02	FBI Memo of Interview dated 09-06-13
14		.03	Reserved
15		.04	Reserved
16		.05	Reserved
17	A109		Reserved
18		.01	FBI Memorandum of Interview dated 7/27/2011
19		.03	Interview notes dated 7/30/2013
20		.04	FBI Memorandum of Interview dated 8/8/2013
21		.05	PowerPoint Presentation dated 2/9/2004
22		.06	Reserved
23		.07	Performance Summary 10-2010
24		.08	PowerPoint Presentation undated but with values "as of 9/30/2010" & "as of 11/17/2010"
25		.09	Email from Vana Ingram to Spencer Walker dated 8/6/2013 Subject: Spangler issue Attachment: Timeline.docx with attachment
26		.10	Emails from Vana Ingram to Spencer Walker dated 7/31/2013
		.11	Email from Kit Maas to vana@nwlink.com dated 1/31/2008 Subject: Spangler Group Reports
		.12	Email from Luann Renfrow to vana@nwlink.com dated 8/8/2004 Subject: member access
		.13	Email from Mark Spangler to Luann Renfrow Subject: Fwd: Ingram 2007 August Vana Ingram dated 8/28/2013 (regarding budget for the upcoming year)
		.20	Property Settlement Agreement dated 9/17/1992
	A110		Reserved

	.01	IRS Memorandum of Interview dated 5/22/2012
	.02	Handwritten Notes
	.03	Handwritten Notes
	.04	Memorandum of Interview, undated and unsigned.
	.05	PowerPoint Presentation dated 11/16/2009
	.06	PowerPoint Presentation dated 10/31/2005
A111		Reserved
	.01	Reserved
	.02	Reserved
A112		Reserved
	.01	Reserved
	.02	Reserved
A113		Reserved
	.01	Reserved
	.02	Reserved
A114		Reserved
	.01	Recorded Interview dated 11/7/2011 Transcript
	.02	FBI Memorandum of Interview dated 3/14/2012
	.03	Grand Jury Testimony dated 5/16/2012
	.04	Grand Jury Exhibit 1, PowerPoint Presentation dated 12/11/2003
	.05	PowerPoint Presentation 09-19-2005
	.06	PowerPoint Presentation 2010
	.07	Grand Jury Exhibit 7, pie charts
	.08	Email from Don Gracia, Subject: Spangler Group Confirmation Request dated 1/27/2011 (re: surprise audit)
A115		Reserved
	.01	FBI Memorandum of Interview dated 10/21/2011
	.02	Memorandum of Interview agent notes undated
	.03	PowerPoint Presentation dated 12/2/2005
	.04	2008 Schedule K-1
	.05	PowerPoint Presentation dated Dec. 2003
A116		Reserved
	.01	FBI Memorandum of Interview dated 7/2/2012
	.02	PowerPoint Presentation dated 4/22/2005
	.03	PowerPoint Presentation dated 1/8/2004
	.04	PowerPoint Presentation dated 12/8/2003
A117		Reserved
	.01	IRS Memorandum of Interview dated 9/10/2012
	.02	Notes of Interview dated 09/10/2012

	.03	Email from Mr. Spangler to RURICHMAN@aol.com (re: Growth+ PPM questions) dated 11/28/2009
A118		Reserved
	.01	IRS Memorandum of Interview dated 6-19-12
	.02	Notes
	.03	Notes of Interview dated 09-30-13
A119		Reserved
	.01	Reserved
	.02	Reserved
A120		Reserved
	.01	IRS Memorandum of Interview dated 10/20/2011
	.02	Memorandum of Interview undated agent notes
	.03	PowerPoint Presentation dated 11/22/2003
	.04	PowerPoint Presentation dated 2/25/2005
	.05	PowerPoint Presentation dated 6/1/2006
	.06	PowerPoint Presentation dated 9/13/2007
	.07	PowerPoint Presentation dated 1/21/2009
	.08	Email from Don Gracia, Subject: Spangler Group Confirmation Request dated 1/27/2011 (re: surprise audit)
A121		Reserved
	.01	302 dated 8/11/2011
	.02	302 dated 5/4/2012
	.03	Handwritten Notes
	.04	Memo of Interview dated 08-19-03
	.05	Handwritten Notes
	.06	PowerPoint Presentation dated 7/31/2004
	.07	PowerPoint Presentation dated 9/15/2005
	.08	PowerPoint Presentation dated 10/19/2006
	.09	PowerPoint Presentation dated 8/22/2007
	.10	PowerPoint Presentation dated 3/5/2009
	.11	PowerPoint Presentation dated 12/8-9/2010
	.12	Email dated 07-28-13 from Rick Rupp to Carl Blackstone re: upcoming trial.
A122		Reserved
	.01	IRS Memorandum of Interview dated 2/3/2012
	.02	PowerPoint Presentation dated Feb. 2004
	.03	PowerPoint Presentation dated Apr. 2006
	.04	PowerPoint Presentation dated Nov. 2009
A123		Reserved

1		.01	Reserved
2		.02	Reserved
3	A124		Reserved
4		.01	FBI Memorandum of Interview dated 10/20/2011
5		.02	Memorandum of Interview agent notes dated 10/20/2011
6		.03	Email from tandyt@hoaloharobotics.com to Spencer Walker dated 10/20/2011 Subject: The crux
7		.04	Email from tangosquared@hotmail.com to Spencer Walker dated 10/20/2011 Subject: FW: Follow Up
8		.05	Email from tangosquared@hotmail.com to Spencer Walker dated 10/20/2011 Subject: FW: how are you doing?
9		.06	Email from tangosquared@hotmail.com to Spencer Walker dated 10/20/2011 Subject: FW: Spangler investment materials: my thoughts
10		.07	Email from tangosquared@hotmail.com to Spencer Walker dated 10/20/2011 (5:40PM) Subject: FW: can you help with analyzing an investment opportunity?
11		.08	Email from tangosquared@hotmail.com to Spencer Walker dated 10/20/2011 (5:38PM) Subject: FW: can you help with analyzing an investment opportunity?
12		.09	Email from tangosquared@hotmail.com to Spencer Walker dated 10/20/2011 Subject: FW: Introduction
13		.10	Email from tangosquared@hotmail.com to Spencer Walker dated 10/20/2011 Subject: FW: University Funds
14		.11	Email from tangosquared@hotmail.com to Goldman Sachs contacts dated 2/2/2011 Subject: RE: Follow up
15		.12	Email from tandyt@hoaloharobotics.com to Spencer Walker dated 10/20/2011 Subject: Today's developments: Spangler Group
16	A125		Reserved
17		.01	IRS Memorandum of Interview dated 5/25/2012
18		.02	Memorandum of Interview agent notes dated 5/25/2012
19		.03	PowerPoint Presentation dated 3/8/2008
20		.04	2008 Schedule K-1
21		.05	PowerPoint Presentation dated Nov. 2005
22	A126		Reserved
23		.01	Grand Jury Testimony dated 5/16/2012
24		.02	Reserved
25		.03	Reserved
26	A127		Reserved
		.01	Reserved

	.02	Reserved
A128		Reserved
	.01	FBI Memorandum of Interview dated 1/31/2012
	.02	PowerPoint Presentation dated 12/11/2007
	.03	IRS Memorandum of Interview of Amy Bornemeier (Molly Walker's sister)
	.04	IRS Memo of Interview dated 10-04-13
	.05	Notes
	.06	PowerPoint Presentation dated 2/14/2004
	.07	PowerPoint Presentation dated 11/22/2005
	.08	PowerPoint Presentation dated 12/22/2007
	.09	PowerPoint Presentation dated 11/19/2009
A129		Reserved
	.01	IRS Memorandum of Interview dated 5/10/2012
	.02	Telephonic Recorded Interview dated 11/9/2011
	.03	MOI dated 09-18-13
	.04	Notes
	.05	PowerPoint Presentation dated Nov. 2009
A130		Reserved
	.01	Reserved
	.02	Reserved
A131		Reserved
	.01	IRS Memorandum of Interview dated 5/30/2012
	.02	Reserved
A132		Reserved
	.01	FBI Memorandum of Interview dated 1/31/2012
	.02	Reserved
	.03	Comprehensive PPS 1998-2009 made on request by client
	.04	PowerPoint Presentation dated 12/15/2003
	.05	PowerPoint Presentation dated 1/23/2004
	.06	PowerPoint Presentation dated 5/18/2006
A133		Reserved
	.01	FBI Memorandum of Interview dated 5/30/2013
	.02	Email from Suzette Rutherford to Benjamin George dated 5/30/2013 Subject: FW: Terra Hop winding down
	.03	TeraHop Networks Investor Update dated 3/18/2008
A134		Reserved
	.01	Interview 08-15-13
	.02	Handwritten Notes

	.03	Interview 08-19-13
	.04	Handwritten Notes
A135		Reserved
A136		Reserved
	.01	Grand Jury Testimony Transcript dated 4/16/2013
	.02	Reserved
	.03	Reserved
A137		Reserved
A138		Reserved
	.01	Reserved
	.02	Reserved
A139		Reserved
	.01	Reserved
	.02	Reserved
A140		Reserved
	.01	Grand Jury Testimony Transcript dated 4/19/2012
	.02	Grand Jury Testimony Transcript dated 5/2/2012
	.03	Grand Jury Testimony Transcript dated 4/16/2013
	.04	Grand Jury Testimony Transcript dated 5/3/2012
	.05	Grand Jury Testimony Transcript dated 5/17/2012
	.00	Reserved
	.10	Grand Jury Exhibit 2 - Schedule of fees paid
	.11	Grand Jury Exhibit [illegible] - Schedule of Payments to The Spangler Group and Mark Spangler
	.12	Grand Jury Exhibit [illegible] - Funds Disbursed to TeraHop and Tamarac
	.13	Cost Basis & Actual Allocation Graphs - Manny Vellon
	.14	Cost Basis & Actual Allocation Graphs - Judy Weseman
	.15	Checks written to investors from TeraHop total \$307,769
A140		Reserved
	.01	FBI Memorandum of Interview dated 3/14/2012
	.02	FBI Memorandum of Interview dated 4/11/2012
A141		Reserved
	.01	FBI Memorandum of Interview dated 10/21/2011
	.02	FBI Memorandum of Interview dated 11/8/2011
	.03	FBI Memorandum of Interview dated 4/9/2012
	.04	"Total Contributions and Distributions By Date By Investor into and between MuniBond, Long/Short, SG Growth+ and SG Income+" undated

1	A142	Reserved
2	.01	FBI Memorandum of Interview dated 10/21/2011
3	.02	FBI Memorandum of Interview dated 11/8/2011
4	.03	FBI Memorandum of Interview dated 4/9/2012
5	.04	IRS Memorandum of Interview dated 3/14/2013
6	.05	IRS Memorandum of Interview dated 12/20/2012
7	.06	IRS Memorandum of Interview dated 1/24/2013
8	.07	Handwritten Notes
9	.08	Handwritten Notes
10	.09	IRS Memorandum of Interview dated 2/5/2013
11	A143	Reserved
12	.01	FBI Memorandum of Interview dated 11/7/2011
13	.02	IRS Memorandum of Interview dated 5/15/2012
14	.03	IRS Memorandum of Interview dated 5/17/2013
15	.04	Emails between Kit Maas and Don Gracia re: timing and content of surprise audit
16	.05	IRS Memorandum of Interview dated 09-24-13
17	.06	Notes
18	A144	Reserved
19	.01	Email from Andrea Orth to Jan Kallshian dated 10/11/2012 Subject: RE: Transfers to Tamarac [Cc: Frank Miller, Renfrow answering questions]
20	.02	Reserved
21	A145	Reserved
22	.01	FBI Memorandum of Interview dated 9/26/2011
23	.02	Reserved
24	A146	Reserved
25	.01	IRS Memorandum of Interview dated 5/15/2012
26	.02	Email from Don Gracia to Delay/Ryesky, Subject: Spangler Group Confirmation Request (surprise audit email) dated 1/27/2011,
	.03	Email from Don Gracia to Robert Castleberry, Subject: Spangler Group Confirmation Request (surprise audit email) dated 1/27/2011, e.g.
	.04	Audit letter 1-24-2011
	.05	MOI dated
	.06	Notes
	A147	Reserved
	.01	IRS Memorandum of Interview dated 1/15/2013
	.02	Reserved

	.03	Reserved
A148		Reserved
	.01	IRS Memorandum of Interview dated 4/26/2012
A149		Reserved
A150		Reserved
	.01	FBI Memorandum of Interview dated 3/16/2012
	.02	FBI Memo of Interview dated 10-02-13
	.10	DWT Billing Invoice No. 5646763 dated 11/19/2007
	.11	DWT Billing Invoice No. 5654556
A151		Reserved
	.01	Email from Mark Spangler to Carbonneau dated 5/3/2011 Subject: RE: Proposal Regarding TeraHop Networks Patent Portfolios [Tillman Wright fees \$888K, expenses \$412K, - Chad Tillman]
	.02	Email from Carbonneau to Mark Spangler and David Nason dated 6/30/2011 Subject: RE: TeraHop IP [licensing fees vs. sale and Dispersive Solutions].
A152		Reserved
	.01	Recorded Interview of Bill Carleton dated 4/19/2012
	.02	MOI dated 9-25-13
	.03	Handwritten Notes
	.04	William A Carleton III - Avvo Profile with work history.
	.05	Amazon Personal Profile - Speaking Engagements, Expertise, Author, Director.
	.06	www.wac6.com archive - "Counselor @ Law" William A. Carleton III's blog
	.07	Consultancy Agreement between Carleton and Spangler Financial Group dated 10/2/1999
	.08	Equity PPM section "Legal Counsel" - (attorneys performing services for the Company may also perform services for the Manager).
	.09	Email from Stokke to Carleton dated 8/26/1998 setting up new matter: Equity Investors Group LLC.
	.10	Email from Bill Carleton to Cairncross Hempelmann team dated 8/20/1998 Subject: Mark Spangler (on streamlining communications with client).
	.11	Cairncross &Hemplelman Invoices 1996 - 2006
	.12	Fee Agreement -1998
	.20	DWT Letter to Bill Carleton dated 8/23/2007 Re: Invoices for Services Rendered

	.21	DWT Manual Billing Invoice dated 12/5/2007
A153		Reserved
	.01	Email from Brian DeFoe and Mary Jo Heston to fdhlaw@aol.com and Mark Davidson dated 6/28/2011, Subject: Vellon et al v. Spangler et al
A154		Reserved
	.01	Reserved
A155		Reserved
	.01	DWT Billing Invoice No. 5700802 dated 6/16/2008, Manesh and Wallin working on SV7/SV11
A156		Reserved
	.01	Declaration dated 6-05-13
	.02	MOI dated 09-25-13
	.03	Handwritten Notes
	.04	Email from Karl Ege to Joe Wallin cc: Jerry McNaul dated 3/23/2011 Subject: RE: Spangler
A157		Reserved
	.01	Reserved
A158		Reserved
	.01	Reserved
A159		Reserved
	.01	MOI of Interview dated 9-20-13
	.02	Notes
A160		Reserved
	.01	Reserved
	.02	IRS Memorandum of Interview dated 7/31/2013
	.03	Notes from Interview
A161		Reserved
	.01	IRS Memorandum of Interview dated 3/16/2012
	.02	Handwritten Notes
	.03	Typed Notes dated 3/16/2012
	.04	Handwritten Notes
	.05	FBI Memo of Interview Dated 10-03-13
	.10	Joe Wallin Avvo.com Profile
	.11	Startuplawblog.com Wallin Blog
	.12	Twitter Account
	.20	DWT Billing Invoice No. 5700807 dated 6/16/2008 - Wallin working on Equity, courtesy discount
	.21	DWT Billing Invoice No. 5710985 dated 7/22/2008 - Wallin working on Merger of funds with B. Todd

1	.22	DWT Invoice No. 5939502 dated 12/21/2010
2	.30	Executive Summary regarding Spangler Ventures 5
3	.31	Emails from Walling to Ast Subject: RE: Spangler Ventures Six .
4	.32	DWT Billing Invoice No. 5654554 dated 12/14/2007
5	.33	DWT Billing Invoice No. 5674337 dated 3/10/2008, Weinstein no longer listed on Supervising attorney line, Wallin is.
6	A162	Reserved
7	.01	Reserved
8	A163	Reserved
9	.01	FBI Memorandum of Interview dated 9/20/2011
10	A164	Reserved
11	A165	Reserved
12	A166	Reserved
13	A167	Reserved
14	A168	Reserved
15	A169	Reserved
16	A170	Reserved
17	.01	Curriculum Vitae
18	.02	Valuing Early Stage and Venture-Backed Companies, by Neil Beaton
19	.03	2007 March updated US PE Valuation Guidelines
20	.04	Fair Value Guidelines
21	.05	ILPA Likes Guidelines
22	.06	Little VC Secret
23	.07	More Guidelines
24	.08	NEA Rejects Guidelines
25	.09	NVCA Balks at Guidelines
26	.10	Proposed VC Guidelines
	.11	Recommended Val Guidelines
	.12	Suggested Val Standards
	.13	Valuation Clarity
	.14	WSJ-Standards Needed
	.15	Venture Financing Q204
	.16	Canadian VC Valuation Guidelines
	.17	VC Proposed Valuation Standards 12-03
	.18	Current Valuations
	.19	International PE VC Valuation Guidelines 10-2006
	A171	Reserved
	.01	Curriculum Vitae

1		.02	S&Ps 500 Index
2		.03	United States Consumer Confidence
3		.04	Unemployment Rate in US
4		.05	Equity Flow of Funds
5		.06	Local Firms return during Crisis
6	A172		Reserved
7		.01	Curriculum Vitae
8	A173		Reserved
9		.01	Curriculum Vitae
10	A174		Reserved
11	A175		Reserved
12	A176		Reserved
13	A177		Reserved
14	A178		Reserved
15	A179		Reserved
16		.01	IRS Memo of Interview dated 08-22-13
17		.02	Notes
18	A180		Reserved
19		.01	Recorded Interview dated 11/14/2011
20		.02	Minutes of Board of Directors Meeting dated 6/19/2008 [Discussing documentation and rationale of fair market value determination.]
21		.03	Clarifications of Transcript of Interview
22	A181		Reserved
23		.01	IRS Memorandum of Interview dated 11/4/2011
24		.02	IRS Memorandum of Interview dated 2/16/2012
25		.03	IRS Memorandum of Interview dated 11/13/2012 [No management carve or personal gains accrue through entities invested in TeraHop.]
26		.04	MOI dated
		.05	Notes
	A182		Reserved
	A183		Reserved
		.01	Reserved
	A184		Reserved
		.01	Recorded Interview dated 11/10/2011
		.02	Investor Update 2007_12_10
		.03	TeraHopCompany Overview 2009_01_22
		.04	TeraHop Portfolio Evaluation Report 08-27-2007

	.05	TeraHop Q3 2007 Investor Update
1	A185	Reserved
2	A186	Reserved
3	.01	Reserved
4	A187	Reserved
5	.01	Memo of Interview 04-05-13
6	.02	Handwritten Notes
7	.03	Email from David Nason to Sönny Spearman dated 2/12/2011 Subject: RE: Breadcrumb's of Chance's trip to Baldwin
8	.04	Email from David Nason to Sönny Spearman dated 2/25/2011 Subject: RE: HCSS Call Quants Fuel + more notes - need response
9	A188	Reserved
10	.01	Recorded Interview dated 11/14/2011
11	.02	Reserved
12	A189	Reserved
13	.01	Interview notes undated and email from Spencer Walker dated 4/4/2013 Subject: Here is my email
14	.02	IRS Memorandum of Interview dated 4/3/2013
15	.03	Emails and documents discussed during 4/3/2013 interview
16	.04	Emails and documents discussed during 4/3/2013 interview
17	.05	Emails and documents discussed during 4/3/2013 interview
18	.06	Emails and documents discussed during 4/3/2013 interview
19	.07	Emails and documents discussed during 4/3/2013 interview
20	.08	Email from Spencer Walker to Benjamin George dated 4/4/2013 Subject: FW: Here is my email
21	.09	Terahop Case Study -KLB Construction
22	.10	KLB Fuel System
23	.11	KLB Fuel System Link
24	.12	KLB Project Onsite Meeting
25	A190	Reserved
26	.01	Recorded Interview dated 11/28/2011
	.02	IRS Memorandum of Interview dated 11/14/2012 [DePina not aware of any 'management carve' Spangler would benefit from as executive of Tamarac].
	A191	Reserved
	A192	Reserved
	A193	Reserved
	A194	Reserved
	A195	Reserved

A196		Reserved
	.01	FBI Memorandum of Interview dated 10/26/2012
A197		Reserved
A198		Reserved
A199		Reserved
	.01	Investment Adviser Representative Public Disclosure Report dated July 19, 2011
	.02	Transcribed Interview dated 11/30/2011
	.03	Letter from Mr. Spangler to SEC Branch Chief Edward Haddad Re: Spangler Financial Group, Inc. File No. 801-36203 (response to on-site SEC examination) dated 8/15/2002

DATED this 10th day of October, 2013.

Respectfully submitted,

s/John R. Carpenter

JOHN R. CARPENTER

Attorney for Mark Spangler

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CERTIFICATE OF SERVICE

I hereby certify that on October 10, 2013, I electronically filed the foregoing with the Clerk of the Court using the CM/ECF system which will send notification of such filing to all parties registered with the CM/ECF system.

s/ Carolynn Calder
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